DEPARTMENT OF DEFENSE
SPECIAL ACCESS PROGRAMS

PRESENTED TO:
DEFENSE SCIENCE BOARD

UNCLASSIFIED
AGENDA

Purpose

The What and Why of Special Access Programs

OSD SAP Organization

SAP Policy

OSD SAP Acquisition Oversight

Congressional Reporting

UNCLASSIFIED

27 JAN 05
Purpose

To present an overview of the Office of the Secretary of Defense’s Special Access Program Coordination Office organization and process for acquisition oversight.
What and Why of SAPs

What are SAPs?

As authorized by E.O. 12958, any program which imposes “need-to-know” and other enhanced security measures beyond those normally required for access to collateral information.

Why do we have SAPs?

- Protect significant U.S. Operational and Technological breakthroughs
- Protect our Exploitation of Adversary Vulnerabilities
- Reduce Intelligence on U.S. Force Capabilities
- Protect Sensitive Operational Plans

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Special Access Program

Oversight Structure

SAPOC
SAP OVERSIGHT COMMITTEE

GENERAL COUNSEL

USD(P) USD(AT&L)
(VICE CHAIR)

USD(I) ASD(NII)

USD(C)/CFO OUSD(PA&E)

DEPSECDEF
(CHAIR)

DIRECTOR SAPCO
(EXECUTIVE SECRETARY)

VCJCS

Under Secretary of the Army

Under Secretary of the Navy

Under Secretary of the Air Force

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Special Access Program
Senior Review Group

SRG
SENIOR REVIEW GROUP

DIRECTOR SAPCO
(CHAIRMAN)
JCS/J-3, J-8 - PDUSD(P) - DUSD(I) - ASD(NII) - OGC
PUSD(PA&E) - OUSD(C)/CFO
SAPCO DEPUTY DIRECTOR IS EXECUTIVE SECRETARY

OPERATIONS
CENTRAL OFFICE

INTELLIGENCE
CENTRAL OFFICE

ACQUISITION
CENTRAL OFFICE

SERVICES and AGENCIES

UNCLASSIFIED
SAP CATEGORIES

ACQUISITION - Established primarily for the protection of sensitive RDT&E, or procurement activities in support of sensitive military and intelligence requirements.

OPERATIONS & SUPPORT - Established primarily for the protection of the planning and execution of sensitive military operations, or to provide sensitive support to non-DoD agencies.

INTELLIGENCE - Established primarily for the protection of the planning and execution of sensitive intelligence or counter-intelligence operations, the intelligence collected or the exploitation of that intelligence.
SAP POLICY

- Section 119 of Title 10, United States Code
- Executive Order 12958, “Classified National Security Information,” April 17, 1995
- DoD Instruction O-5205.11, “Management, Administration, and Oversight of DoD Special Access Programs (SAPs),” July 1, 1997
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Acquisition Oversight

Program Management
- DoD 5000.1, The Defense Acquisition System
- DoD 5000.2, Operation of the Defense Acquisition System
- CJCSM 3170.01E, Joint Capabilities Integration and Development System

SAP Programs follow the same acquisition oversight as all other DoD programs.

Procurement
- Federal Acquisition Regulation (FAR)
- Defense Federal Acquisition Regulation Supplement (DFARS)
Acquisition Oversight (continued)

Re-occurring Management Controls

- Annual Program Listing to Congress (10 U.S.C. § 119, 1 February)
- Annual Report to Congress (10 U.S.C. § 119, 1 March)
- Biannual Program Objective Memorandum (POM)
- Biannual Program Change Proposal/Budget Change Proposal (PCP/BCP)
- Annual Program Budget Reviews
- SRG Reviews and makes recommendation all program changes, restructures, and terminations as necessary
- SAPOC approves all program changes, restructures and terminations
Acquisition Oversight (continued)

- Annual Acquisition Oversight Initiative (AOI) report to the Under Secretary of Defense (Acquisition, Technology, & Logistics)
- Quarterly AOI Integrated Process Team (IPT) reviews of designated AOI Programs
- Audits as required by
  - GAO
  - DoD IG
- Contract Management Review & Oversight
  - DCMA
  - DCAA
CONGRESSIONAL REPORTING

Covered under Title 10, U.S.C. § 119

SAP reporting requirements to Congress:

- Annual Report due 1 March each year
- Additional requirements for establishing, terminating, and any significant change in classification

SECDEF may WAIVE Congressional reporting if inclusion would adversely affect National Security

If SECDEF, in his oversight functions, exercises WAIVER authority, SAP information, and justification for Waiver, will be provided to the Chairmen and Ranking Minority Members of the Defense Committees

New Start Reporting/Authority